



**NOVA TRANSPORTADORA
DO SUDESTE S/A - NTS**

Status

Concluded

Item 2.0: Obligations of PARTIES

- Accounting separation between companies (TAG and NTS)
- Tariff Calculation Individualization
- NTS Decision Autonomy
- Conclusion of O&M Contract with TRANSPETRO
- Quarterly communication to ANP and the general public through the website

Comments:

- Following the corporate restructuring on October 24, 2016, assets located in the Southeast region (except Espírito Santo) were transferred by TAG to NTS and duly recorded in NTS accounting.
- Each Firm Transport Service Agreement has specific rates. The GASDUC III, GASTAU, MALHA SE, MESH II and PAULÍNIA-JACUTINGA Firm Transport Agreements were assigned to NTS following the corporate restructuring.
- NTS has decision-making autonomy in accordance with its Bylaws, having its own governance bodies.
- The two O&M agreements signed between TAG and TRANSPETRO for the GASDUC III, GASTAU, MESH II and PAULÍNIA-JACUTINGA Transport Systems were transferred to NTS. New Contract for the SE Mesh Transport System was signed.
- NTS has its own website to disclose information in compliance with current regulations. The follow-up of the TC will be published on the website after submission to ANP.

**Item 3.0:
Restructuring
Company**

- Forward to the ANP a copy of the minutes of the AGEs related to the approval of the corporate restructuring filed with Junta Comercial

Comments:

- The minutes related to the approval of the corporate restructuring filed in JUCERJA have already been sent to ANP



**NOVA TRANSPORTADORA
DO SUDESTE S/A - NTS**

Status

Forwarded

**Item 4.0:
Environmental
licenses operating**

- Forward to the ANP copies of LO's request protocols on behalf of the NTS
- Forward to ANP copies of LOs obtained

Comments:

- The protocols for LO transfer requests were sent to the ANP
- LOs issued so far on behalf of NTS have been sent to ANP

Comments:

- Quarterly Tracking

AO 551/2016, 10/21/2016	STATUS	LO REQUESTED ON BEHALF OF NTS
GASBEL pipeline	IBAMA 1128/2013 1st RETIF	IBAMA 12/04/2016
PE Regap	IBAMA 1128/2013 1st RETIF	IBAMA 12/04/2016
PE Regap II	IBAMA 1128/2013 1st RETIF	IBAMA 12/04/2016
PE UTE Ibirité	IBAMA 1128/2013 1st RETIF	IBAMA 12/04/2016
PE RIO DAS FLORES	LAS INEA 035156/2016	03/05/2016
PE UTE Juiz de Fora - Igrejinha	IBAMA 1128/2013 1st RETIF	IBAMA 12/04/2016
PE Juiz de Fora	IBAMA 1128/2013 1st RETIF	IBAMA 12/04/2016
PE Barbacena	IBAMA 1128/2013 1st RETIF	IBAMA 12/04/2016
PE São Brás do Suaçuí II	IBAMA 1128/2013 1st RETIF	IBAMA 12/04/2016
PE Brumadinho	IBAMA 1128/2013 1st RETIF	IBAMA 12/04/2016
PE Betim II	IBAMA 1128/2013 1st RETIF	IBAMA 12/04/2016
SCOMP ESMAN	IBAMA 1128/2013 1st RETIF	IBAMA 12/04/2016
SCOMP Congonhas	IBAMA 1128/2013 1st RETIF	IBAMA 12/04/2016

Note: Documents on behalf of NTS

Comments:

- Quarterly Tracking

AO 555/2016, 10/21/2016	STATUS	LO REQUESTED ON BEHALF OF NTS
GASVOL pipeline	Under review by the environmental agency ^[1]	IBAMA 12/04/2016
PE Paracambi	Under review by the environmental agency ^[1]	IBAMA 12/04/2016
PE Pirai	Under review by the environmental agency ^[1]	IBAMA 12/04/2016
ESVOL-TEVOL 14 "Extension	Under review by the environmental agency ^[1]	IBAMA 12/04/2016
PE Volta Redonda	Under review by the environmental agency ^[1]	IBAMA 12/04/2016

Note: Documents on behalf of NTS

[1] GASVOL and Complementary Facilities: In the process of regularization, LO will be issued on behalf of NTS when the process is finalized - Official Letter 002001.009479 / 2016-31 DILIC / IBAMA

Comments:

- Quarterly Tracking

AO 554/2016, 10/21/16	STATUS	LO REQUESTED ON BEHALF OF NTS
GASPAL pipeline	Under review by the environmental agency ^[1]	IBAMA 12/04/2016
PE Cruzeiro	Under review by the environmental agency ^[1]	IBAMA 12/04/2016
PE Lorena	Under review by the environmental agency ^[1]	IBAMA 12/04/2016
PE Taubaté	Under review by the environmental agency ^[1]	IBAMA 12/04/2016
PE City of Steel	INEA 35246/2016	03/05/2016
PE Barra Mansa II	INEA 35236/2016	03/05/2016
PE Pindamonhangaba II	CETESB ACTIVE STATEMENT EXEMPT 3000519	CETESB 4/20/2016
PE Revap II	IBAMA 899/2009 1st RETIF	IBAMA 12/04/2016
PE Recap II	CETESB ACTIVE STATEMENT EXEMPT 16000821	CETESB 4/20/2016
PE São José dos Campos	Under review by the environmental agency ^[1]	IBAMA 12/04/2016
PE Suzano	Under review by the environmental agency ^[1]	IBAMA 12/04/2016
PE Capuava	Under review by the environmental agency ^[1]	IBAMA 12/04/2016
AO 550/2016, 10/21/2016		
GASAN pipeline	Under review by the environmental agency ^[2]	CETESB 4/20/2016
PE Cubatão		
PE RPBC		
PE São Bernardo do Campo	Under review by the environmental agency ^[2]	CETESB 4/20/2016
PE UTE Cubatão	CETESB ACTIVE DECLARATION EXEMPTED 25000200	CETESB 4/20/2016

Note: Documents on behalf of NTS

[1] Cont. GASPAL and complementary facilities: In the process of regularization, LO will be issued on behalf of NTS when the process is finalized - Official Letter 002001.009479 / 2016-31 DILIC / IBAMA

[2] GASAN and PE São Bernardo do Campo: In process of regularization, LO will be issued on behalf of NTS when the process is finalized - Official CETESB 490/16 / IE

Comments:

- Quarterly Tracking

AO 545/2016, 10/21/2016	STATUS	LO REQUESTED ON BEHALF OF NTS
REDUC Waste Gas Ring	INEA 35240/2016	03/05/2016
Campos Elísios Extension 16 "	INEA 35240/2016	03/05/2016
Campos Elísios Extension 20 "	INEA 35240/2016	03/05/2016
PE REDUC	INEA 35240/2016	03/05/2016
PE Termorio I	INEA 35240/2016	03/05/2016
PE Termorio II	Under review by the environmental agency ^[3]	03/05/2016
AO 548/2016, 10/21/2016		
GASCAR pipeline	IBAMA 762/2008 2nd RETIF	IBAMA 12/04/2016
ETC Japeri	IBAMA 762/2008 2nd RETIF	IBAMA 12/04/2016
PE Resende II	Under review by the environmental agency ^[4]	03/05/2016
PE Guaratinguetá	CETESB ACTIVE STATEMENT EXEMPT 3000508	CETESB 25/05/2016
PE Caçapava	CETESB ACTIVE DECLARATION EXEMPTED 57000610	CETESB 25/05/2016
PE Bragança Paulista	IBAMA 912/2010 1A RETIF	IBAMA 12/04/2016
ECOMP Vale do Paraíba	IBAMA 1027/2011 1st RETIF	IBAMA 12/04/2016

Note: Documents on behalf of NTS

[3] PE Termorio II: LO in correction

[4] PE Resende II: LO under renovation

Comments:

- Quarterly Tracking

AO 546/2016, 10/21/2016	STATUS	LO REQUESTED ON BEHALF OF NTS
GASDUC III Gas Pipeline - 13 km	Under review by the environmental agency [4]	03/05/2016
GASDUC III Gas Pipeline - 167 km	Under review by the environmental agency [4]	03/05/2016
PE Guapimirim	Under review by the environmental agency [4]	03/05/2016
PE UTE Mário Lago	INEA 35123/2016	03/05/2016
PE TECAB (EMED GASDUC III)	Under review by the environmental agency [4]	03/05/2016
PE UTE Norte Fluminense	INEA 35128/2016	03/05/2016
AO 557/2016, 2016		
PAULINIA-JACUTINGA pipeline	IBAMA 891/2009 1st RETIF	IBAMA 12/04/2016
PE Jacutinga	IBAMA 891/2009 1st RETIF	IBAMA 12/04/2016
AO 547/2016, 10/21/2016		
Gas Pipeline GASTAU	IBAMA 1013/2011 1st RETIF	IBAMA 12/04/2016
ECOMP Taubate	IBAMA 1009/2011 1st RETIF	IBAMA 12/04/2016
ETC São José dos Campos	IBAMA 1001/2011 1st RETIF	IBAMA 12/04/2016
ETC Taubaté	IBAMA 762/2008 2nd RETIF	IBAMA 12/04/2016

Note: Documents on behalf of NTS

[4] (Cont.) GASDUC III, GASJAP, PE Guapimirim, ECOMP Campos Elíseos and PE Japeri II: LO under renovation

Comments:

- Quarterly Tracking

AO 551/2016, 10/21/2016	STATUS	LO REQUESTED ON BEHALF OF NTS
GASBEL II pipeline	IBAMA 950/2010 1st RETIF	IBAMA 12/04/2016
AO 556/2016, 10/21/2016		
JAPERI-REDUC pipeline	Under review by the environmental agency ^[4]	03/05/2016
PE Duque de Caxias	INEA 35115/2016	03/05/2016
PE UTE Baixada Fluminense	INEA 35241/2016	03/05/2016
PE Japeri	Under review by the environmental agency ^[1]	IBAMA 12/04/2016
PE Japeri II	Under review by the environmental agency ^[4]	03/05/2016
ECOMP Campos Elísios	Under review by the environmental agency ^[4]	03/05/2016
AO 553/2016, 10/21/2016		
GASPAL II pipelines	CETESB 2007 RETIF	CETESB 4/20/2016
ECGM Mauá	CETESB 2007 RETIF	CETESB 4/20/2016
ECOMP Guararema	CETESB 2034 RETIF	CETESB 4/20/2016
AO 549/2016, 10/21/2016		
GASAN II pipelines	CETESB 2012 RETIF	CETESB 4/20/2016
ERP São Bernardo do Campo	CETESB 2012 RETIF	CETESB 4/20/2016
PE São Bernardo do Campo	CETESB 2218 RETIF	CETESB 4/20/2016

Note: Documents on behalf of NTS

[1] Cont. PE Japeri: In the process of regularization, LO will be issued on behalf of NTS when the process is finalized - Official Letter No. 02001.009479 / 2016-31 DILIC / IBAMA

[4] Cont. GASJAP, PE Japeri II and ECOMP Campos Elísios: LO undergoing renovation



**NOVA TRANSPORTADORA
DO SUDESTE S/A - NTS**

Status

In Progress

Item 5.0:
Authorizations of operation, Transport and Technical Documentation

- Forward to the ANP technical documentation requested in Annex II
- Communicate to ANP the status of survey of the required documentation Annexes III.A and III.B

Comments:

- The documentation requested in Annex II of the Commitment Term was sent to ANP on 12/9/2016
- Part of the documentation requested in Annexes III.A and III.B has already been sent

A III		AO 07/1998	Specifications Description	Plants Status	Profile Plan and Layout	General Plan rental	Flowchart of Eng. and process	Data Sheets; Main Equip.	Criminal Background They commission.	PR / PMO
	AO 551/2016, 10/21/2016									
A	GASBEL pipeline	YES	OK	OK	OK	NA	27/01/2017	OK	04/07/2017	NA
A	PE Regap	YES	OK	OK	NA	OK	OK	OK	04/07/2017	04/07/2017 Gasmig
A	PE Regap II	YES	OK	OK	NA	OK	OK	OK	04/07/2017	04/07/2017 Gasmig
A	PE UTE Ibirité	YES	OK	OK	NA	OK	OK	OK	04/07/2017	04/07/2017 Gasmig
	AO 555/2016, 10/21/2016									
A	GASVOL pipeline	YES	OK	OK	OK	NA	OK	OK	04/07/2017	NA
	AO 555/2016, 10/21/2106									
A	ESVOL-TEVOL 14 "Extension	YES	OK	OK	OK	NA	OK	OK	04/07/2017	NA
	AO 554/2016, 10/21/2016									
A	GASPAL pipeline	YES	OK	OK	OK	NA	OK	OK	04/07/2017	NA
A	PE Cruzeiro	YES	OK	OK	NA	OK	OK	OK	04/07/2017	04/07/2017 COMGÁS
A	PE Lorena	YES	OK	OK	NA	OK	OK	OK	04/07/2017	04/07/2017 COMGÁS
A	PE Taubaté	YES	OK	OK	NA	OK	OK	OK	04/07/2017	04/07/2017 COMGÁS
	AO 550/2016, 10/21/2016									
A	GASAN pipeline	YES	OK	OK	OK	NA	OK	OK	04/07/2017	NA
A	PE Cubatão	YES	27/01/2017	27/01/2017	NA	27/01/2017	27/01/2017	27/01/2017	04/07/2017	04/07/2017 COMGÁS
A	PE RPBC	YES	27/01/2017	27/01/2017	NA	27/01/2017	27/01/2017	27/01/2017	04/07/2017	04/07/2017 COMGÁS
	AO 556/2016, 10/21/2016									
A	PE Japeri	NO	27/01/2017	27/01/2017	NA	27/01/2017	27/01/2017	27/01/2017	04/07/2017	04/07/2017 CEG

Caption:

[OK] Documentation submitted to ANP

NA – not applicable

[date] Estimated date for submission of documentation to ANP

**NOVA TRANSPORTADORA
DO SUDESTE S/A - NTS**

Status In Progress

		AO 07/1998	Data Georeferenced	SPA Record Facilities (Form. RANP 2015/2015)	Statement of Record of Item Agent Regulated	Summary Proce.d. Operation AFG INSPEÇÃO E Maintenance	Service, Agreement Transportation	Annex IV
AO 551/2016, 10/21/2016								
A	GASBEL pipeline	YES	OK	OK	OK	OK	OK	Under development
A	PE Regap	YES	OK	OK	OK	OK	OK	Under development
A	PE Regap II	YES	OK	OK	OK	OK	OK	Under development
A	PE UTE Ibirité	YES	OK	OK	OK	OK	OK	Under development
AO 555/2016, 10/21/2016								
A	GASVOL pipeline	YES	OK	OK	OK	OK	OK	Under development
AO 555/2016, 10/21/2106								
A	ESVOL-TEVOL 14 "Extension	YES	OK	OK	OK	OK	OK	Under development
AO 554/2016, 10/21/2016								
A	GASPAL pipeline	YES	OK	OK	OK	OK	OK	Under development
A	PE Cruzeiro	YES	OK	OK	OK	OK	OK	Under development
A	PE Lorena	YES	OK	OK	OK	OK	OK	Under development
A	PE Taubaté	YES	OK	OK	OK	OK	OK	Under development
AO 550/2016, 10/21/2016								
A	GASAN pipeline	YES	OK	OK	OK	OK	OK	Under development
A	PE Cubatão	YES	27/01/2017	OK	OK	OK	OK	Under development
A	PE RPBC	YES	27/01/2017	OK	OK	OK	OK	Under development
AO 556/2016, 10/21/2016								
A	PE Japeri	NO	OK	27/01/2017	OK	OK	OK	Under development

Caption:

[OK] Documentation submitted to ANP

NA – not applicable

[date] Estimated date for submission of documentation to ANP

**NOVA TRANSPORTADORA
DO SUDESTE S/A - NTS**

Status

In Progress

A III		AO 07/1998	Data Georeferenced	SPA Record Facilities (Form. RANP 2015/2015)	Statement of Record of Item Agent Regulated	Summary Proce. Operation AFG INSPEÇÃO E Maintenance	Service Agreement Transportation	Annex IV
AO 551/2016, 10/21/2016								
B	PE Rio das Flores	NO	OK	27/01/2017	OK	OK	OK	Under development
B	PE UTE Juiz de Fora - Igreja	NO	OK	27/01/2017	OK	OK	OK	Under development
B	Juiz de Fora	NO	OK	27/01/2017	OK	OK	OK	Under development
B	PE Barbacena	NO	OK	27/01/2017	OK	OK	OK	Under development
B	PE São Brás do Suaçuí II	NO	OK	27/01/2017	OK	OK	OK	Under development
B	Brumadinho	NO	OK	27/01/2017	OK	OK	OK	Under development
B	PE Betim II	NO	OK	27/01/2017	OK	OK	OK	Under development
B	SCOMP ESMAN	NO	OK	27/01/2017	OK	OK	OK	Under development
B	SCOMP Congonhas	NO	OK	27/01/2017	OK	OK	OK	Under development
AO 555/2016, 10/21/2016								
B	PE Paracambi	NO	OK	27/01/2017	OK	OK	OK	Under development
B	PE Pirai	NO	OK	27/01/2017	OK	OK	OK	Under development
AO 555/2016, 10/21/2106								
B	VOLTA REDONDA	NO	OK	27/01/2017	OK	OK	OK	Under development
AO 554/2016, 10/21/2016								
B	PE City of Steel	NO	OK	27/01/2017	OK	OK	OK	Under development
B	PE Barra Mansa II	NO	OK	27/01/2017	OK	OK	OK	Under development
B	PE Pindamonhangaba II	NO	OK	27/01/2017	OK	OK	OK	Under development
B	PE Revap II	NO	OK	27/01/2017	OK	OK	OK	Under development
B	PE Recap II	NO	OK	27/01/2017	OK	OK	OK	Under development
B	São José dos Campos	NO	OK	27/01/2017	OK	OK	OK	Under development
B	PE Suzano	NO	OK	27/01/2017	OK	OK	OK	Under development
B	PE Capuava	NO	OK	27/01/2017	OK	OK	OK	Under development
AO 550/2016, 10/21/2016								
	São Bernardo do Campo	NO	OK	27/01/2017	OK	OK	OK	Under development
B	PE UTE Cubatão	NO	OK	27/01/2017	OK	OK	OK	Under development
AO 546/2016, 10/21/2016								
B	GASDUC III Gas Pipeline - 13 km	NO	OK	27/01/2017	OK	OK	OK	Under development
B	GASDUC III Gas Pipeline - 167 km	NO	OK	27/01/2017	OK	OK	OK	Under development
B	PE Guapimirim	NO	OK	27/01/2017	OK	OK	OK	Under development
B	PE UTE Mario Lago	NO	OK	27/01/2017	OK	OK	OK	Under development
B	PE TECAB (EMED GASDUC III)	NO	OK	27/01/2017	OK	OK	OK	Under development
B	UTE Norte Fluminense	NO	OK	27/01/2017	OK	OK	OK	Under development
AO 557/2016, 10/21/2016								
B	PAULINIA-JACUTINGA pipeline	NO	OK	27/01/2017	OK	OK	OK	Under development
B	Jacutinga	NO	OK	27/01/2017	OK	OK	OK	Under development

Caption:

[OK] Documentation submitted to ANP

NA – not applicable

{date} Estimated date for submission of documentation to ANP

**NOVA TRANSPORTADORA
DO SUDESTE S/A - NTS**

Status

In Progress

A III		AO 07/1998	Data Georeferenced	SPA Record Facilities (Form. RANP 2015/2015)	Statement of Record of Item Agent Regulated	Summary Proced. Operation AFG INSPEÇÃO E Maintenance	Service Agreement Transportation	Annex IV
	AO 547/2016, 10/21/2016							
B	Gas Pipeline GASTAU	NO	OK	27/01/2017	OK	OK	OK	Under development
B	ECOMP Taubate	NO	OK	27/01/2017	OK	OK	OK	Under development
B	ETC São José dos Campos	NO	OK	27/01/2017	OK	OK	OK	Under development
B	ETC Taubaté	NO	OK	27/01/2017	OK	OK	OK	Under development
	AO 551/2016, 10/21/2016							
B	GASBEL II pipeline	NO	OK	27/01/2017	OK	OK	OK	Under development
	AO 556/2016, 10/21/2016							
B	JAPERI-REDUC pipeline	NO	OK	27/01/2017	OK	OK	OK	Under development
B	Duque de Caxias	NO	OK	27/01/2017	OK	OK	OK	Under development
B	PE UTE Baixada Fluminense	NO	OK	27/01/2017	OK	OK	OK	Under development
B	PE Japeri II	NO	OK	27/01/2017	OK	OK	OK	Under development
B	ECOMP Campos Elísios	NO	OK	27/01/2017	OK	OK	OK	Under development
	AO 553/2016, 10/21/2016							
B	GASPAL II pipelines	NO	OK	27/01/2017	OK	OK	OK	Under development
B	Mauá ECGM	NO	OK	27/01/2017	OK	OK	OK	Under development
B	ECOMP Guararema	NO	OK	27/01/2017	OK	OK	OK	Under development
	AO 549/2016, 10/21/2016							
B	GASAN II pipelines	NO	OK	27/01/2017	OK	OK	OK	Under development
B	ERP São Bernardo do Campo	NO	OK	27/01/2017	OK	OK	OK	Under development
B	PE São Bernardo do Campo	NO	OK	27/01/2017	OK	OK	OK	Under development
	AO 545/2016, 10/21/2016							
B	REDUC Waste Gas Ring	NO	OK	27/01/2017	OK	OK	OK	Under development
B	Campos Elísios 16 "	NO	OK	27/01/2017	OK	OK	OK	Under development
B	Campos Elísios Extension 20 "	NO	OK	27/01/2017	OK	OK	OK	Under development
B	PE REDUC	NO	OK	27/01/2017	OK	OK	OK	Under development
B	PE Termorio I	NO	OK	27/01/2017	OK	OK	OK	Under development
B	PE Termorio II	NO	OK	27/01/2017	OK	OK	OK	Under development
	AO 548/2016, 10/21/2016							
B	GASCAR pipeline	NO	OK	27/01/2017	OK	OK	OK	Under development
B	Japeri ETC	NO	OK	27/01/2017	OK	OK	OK	Under development
B	PE Resende II	NO	OK	27/01/2017	OK	OK	OK	Under development
B	Guaratinguetá	NO	OK	27/01/2017	OK	OK	OK	Under development
B	PE Caçapava	NO	OK	27/01/2017	OK	OK	OK	Under development
B	BRAGANÇA PAULISTA	NO	OK	27/01/2017	OK	OK	OK	Under development
B	ECOMP Paraíba Valley	NO	OK	27/01/2017	OK	OK	OK	Under development

Caption:

[OK] Documentation submitted to ANP

NA – not applicable

{date} Estimated date for submission of documentation to ANP

**Item 6.0:
NTS Obligations**

- Deliver documentation regarding ANP Ordinance No. 1/2003, Resolution ANP No. 11/2016 and ANP Resolution 40/2016
- Enter into interconnection agreements

Comments:**ANP Ordinance No. 1/2003 and RANP 11/2016**

- NTS website provides the requested information
- The Term of Access is under review to meet the changes requested by ANP

RANP 40/2016

- The system interface for the transmission of requested data is under development and expected to go into production on 09/03/2017

Conclude Interconnection Agreement

- After negotiations with TBG, NTS requested that the Responsibility Protocol (PR) and Mutual Operations Procedure (PMO) be considered as an Interconnection Agreement until the elaboration of a new model.
- Subsequently, NTS sent the draft under development for consideration by the ANP.